INVITATION TO BID
BID REFERENCE NUMBER: E1488

THE APPOINTMENT OF THE SERVICE PROVIDER WHO WILL ASSIST THE
DEPARTMENT OF ENVIRONMENTAL AFFAIRS WITH THE REVISION OF THE
2012 HIGHVELD PRIORITY AREA AIR QUALITY MANAGEMENT PLAN FOR
A PERIOD OF TWENTY FOUR MONTHS.

Contact person:
Name: Ms. Elizabeth Masekoameng/ Mrs. Ricca Marowe
Office Telephone No: (012) 399 9202/9207
E-MAIL: EMasekoameng@environment.gov.za. / RMarowe@environment.gov.za.

NATIONAL TREASURY CENTRAL SUPPLIER DATABASE (CSD) REGISTRATION
INFORMATION

<table>
<thead>
<tr>
<th>Company name</th>
<th>Supplier registration number</th>
<th>Unique reference number</th>
</tr>
</thead>
<tbody>
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</tbody>
</table>

Main contractor

Sub-contracted/ joint venture comp 1

Sub-contracted/ joint venture comp 2

CLOSING DATE OF THE BID: 23 NOVEMBER 2018 AT 11H00
# PART A
## INVITATION TO BID

**YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE (NAME OF DEPARTMENT/ PUBLIC ENTITY)**

<table>
<thead>
<tr>
<th>BID NUMBER:</th>
<th>E1488</th>
<th>CLOSING DATE:</th>
<th>23-11-2018</th>
<th>CLOSING TIME:</th>
<th>11:00</th>
</tr>
</thead>
</table>

**DESCRIPTION**

THE APPOINTMENT OF THE SERVICE PROVIDER WHO WILL ASSIST THE DEPARTMENT OF ENVIRONMENTAL AFFAIRS WITH THE REVISION OF THE 2012 HIGHVELD PRIORITY AREA AIR QUALITY MANAGEMENT PLAN FOR A PERIOD OF TWENTY FOUR MONTHS

**BID RESPONSE DOCUMENTS MAY BE DEPOSITED IN THE BID BOX SITUATED AT (STREET ADDRESS)**

Department of Environmental Affairs; The Environment House,

473 Steve Biko Road; Cnr Soutpansberg and Steve Biko Road,

Arcadia Pretoria /Tshwane

## BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO

<table>
<thead>
<tr>
<th>CONTACT PERSON</th>
<th>Mr Jonas Nkitseng or Mr Samuel Mofokeng</th>
</tr>
</thead>
<tbody>
<tr>
<td>TELEPHONE NUMBER</td>
<td>012 399 9055/9056</td>
</tr>
<tr>
<td>FACSIMILE NUMBER</td>
<td>N/A</td>
</tr>
<tr>
<td>E-MAIL ADDRESS</td>
<td><a href="mailto:JNkitseng@environment.gov.za">JNkitseng@environment.gov.za</a> / <a href="mailto:Smofokeng@environment.gov.za">Smofokeng@environment.gov.za</a></td>
</tr>
</tbody>
</table>

## TECHNICAL ENQUIRIES MAY BE DIRECTED TO:

<table>
<thead>
<tr>
<th>CONTACT PERSON</th>
<th>Ms. Elizabeth Masekoameng/ Mrs. Ricca Marowe</th>
</tr>
</thead>
<tbody>
<tr>
<td>TELEPHONE NUMBER</td>
<td>(012) 399 9202/ 9207</td>
</tr>
<tr>
<td>FACSIMILE NUMBER</td>
<td>N/A</td>
</tr>
<tr>
<td>E-MAIL ADDRESS</td>
<td><a href="mailto:EMasekoameng@environment.gov.za">EMasekoameng@environment.gov.za</a> / <a href="mailto:RMarowe@environment.gov.za">RMarowe@environment.gov.za</a></td>
</tr>
</tbody>
</table>

## SUPPLIER INFORMATION

**NAME OF BIDDER**

**POSTAL ADDRESS**

**STREET ADDRESS**

**TELEPHONE NUMBER**

**CODE**

**NUMBER**

**CELLPHONE NUMBER**

**FACSIMILE NUMBER**

**CODE**

**NUMBER**

**E-MAIL ADDRESS**

**VAT REGISTRATION NUMBER**

**SUPPLIER COMPLIANCE STATUS**

**TAX COMPLIANCE SYSTEM PIN:**

OR

**CENTRAL SUPPLIER DATABASE No.**

**MAAA**

**B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE**

[ ] Yes [ ] No

**B-BBEE STATUS LEVEL SWORN AFFIDAVIT**

[ ] Yes [ ] No

**[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/ SWORN AFFIDAVIT (FOR EMES & QSEs) MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE]**

**ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?**

[ ] Yes [ ] No

[IF YES ENCLOSE PROOF]

**ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?**

[ ] Yes [ ] No

[IF YES, ANSWER PART B:3 ]

**QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS**

IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?

[ ] YES [ ] NO

DOES THE ENTITY HAVE A BRANCH IN THE RSA?

[ ] YES [ ] NO

DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA?

[ ] YES [ ] NO

THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA?

[ ] YES [ ] NO

LIABLE IN THE RSA FOR ANY FORM OF TAXATION?

[ ] YES [ ] NO

IF THE ANSWER IS “NO” TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS
SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 BELOW.
### PART B
**TERMS AND CONDITIONS FOR BIDDING**

<table>
<thead>
<tr>
<th>Section</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.1</td>
<td>BID SUBMISSION: BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.</td>
</tr>
<tr>
<td>1.2</td>
<td>ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED—(NOT TO BE RE-TYPED) OR IN THE MANNER PRESCRIBED IN THE BID DOCUMENT.</td>
</tr>
<tr>
<td>1.3</td>
<td>THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT, 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT.</td>
</tr>
<tr>
<td>1.4</td>
<td>THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (SBD7).</td>
</tr>
<tr>
<td>2.1</td>
<td>TAX COMPLIANCE REQUIREMENTS</td>
</tr>
<tr>
<td>2.2</td>
<td>BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER’S PROFILE AND TAX STATUS.</td>
</tr>
<tr>
<td>2.3</td>
<td>APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE <a href="http://WWW.SARS.GOV.ZA">WWW.SARS.GOV.ZA</a>.</td>
</tr>
<tr>
<td>2.4</td>
<td>BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.</td>
</tr>
<tr>
<td>2.5</td>
<td>IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.</td>
</tr>
<tr>
<td>2.6</td>
<td>WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.</td>
</tr>
<tr>
<td>2.7</td>
<td>NO BIDS WILL BE CONSIDERED FROM PERSONS IN THE SERVICE OF THE STATE, COMPANIES WITH DIRECTORS WHO ARE PERSONS IN THE SERVICE OF THE STATE, OR CLOSE CORPORATIONS WITH MEMBERS PERSONS IN THE SERVICE OF THE STATE.&quot;</td>
</tr>
</tbody>
</table>

**NB:** FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.

**SIGNATURE OF BIDDER:** ...............................................................  
**CAPACITY UNDER WHICH THIS BID IS SIGNED:** ...............................................................  
(Proof of authority must be submitted e.g. company resolution)  
**DATE:** ...............................................................
DESCRIPTION: THE APPOINTMENT OF A SERVICE PROVIDER TO PROVIDE CONSULTANCY SERVICES TO PERSONS WITH SPECIAL NEEDS TO ALLOW COMPLIANCE WITH THE ENVIRONMENTAL IMPACT ASSESSMENT REGULATIONS.

**(ALL APPLICABLE TAXES INCLUDED)**

1. The accompanying information must be used for the formulation of proposals.

2. Bidders are required to indicate a ceiling price based on the total estimated time for completion of all phases and including all expenses inclusive of all applicable taxes for the project.

3. PERSONS WHO WILL BE INVOLVED IN THE PROJECT AND RATES APPLICABLE (CERTIFIED INVOICES MUST BE RENDERED IN TERMS HEREOF)

4. PERSON AND POSITION

<table>
<thead>
<tr>
<th>PERSON AND POSITION</th>
<th>HOURLY RATE</th>
<th>DAILY RATE</th>
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5. PHASES ACCORDING TO WHICH THE PROJECT WILL BE COMPLETED, COST PER PHASE AND MAN-DAYS TO BE SPENT

<table>
<thead>
<tr>
<th>PHASE</th>
<th>COST</th>
<th>MAN-DAYS</th>
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5.1 Travel expenses (specify, for example rate/km and total km, class of airtravel, etc). Only actual costs are recoverable. Proof of the expenses incurred must accompany certified invoices.

<table>
<thead>
<tr>
<th>DESCRIPTION OF EXPENSE TO BE INCURRED</th>
<th>RATE</th>
<th>QUANTITY</th>
<th>AMOUNT</th>
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</thead>
<tbody>
<tr>
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</table>

TOTAL: R……………………………………

**"all applicable taxes" includes value-added tax, pay as you earn, income tax, unemployment insurance contributions and skills development levies."
5.2 Other expenses, for example accommodation (specify, eg. Three
star hotel, bed and breakfast, telephone cost, reproduction cost,
etc.). On basis of these particulars, certified invoices will be checked
for correctness. Proof of the expenses must accompany invoices.

<table>
<thead>
<tr>
<th>DESCRIPTION OF EXPENSE TO BE INCURRED</th>
<th>RATE</th>
<th>QUANTITY</th>
<th>AMOUNT</th>
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</table>

TOTAL: R………………………………………………….

6. Period required for commencement with project after
acceptance of bid

7. Estimated man-days for completion of project

8. Are the rates quoted firm for the full period of contract? *YES/NO

9. If not firm for the full period, provide details of the basis on which
adjustments will be applied for, for example consumer price index.

*DELETE IF NOT APPLICABLE*

Any enquiries regarding bidding procedures may be directed to the –

Department of Environmental Affairs

**Contact Person:** Mr Samuel Mofokeng / Mr Jonas Nktseng
**Tel:** (012) 399 9057 or (012) 399 9055
**E-mail:** SMofokeng@environment.gov.za or JNkitseng@environment.gov.za

Or for technical information –

**Technical Contact Persons:**
**Name:** Ms. Elizabeth Masekoameng/ Mrs. Ricca Marowe
**Office Telephone No.:** (012) 399 9202/9207
**E-Mail:** EMasekoameng@environment.gov.za/ RMarowe@environment.gov.za.
ANNEXURE B

SBD 4

DECLARATION OF INTEREST

1. Any legal person, including persons employed by the state¹, or persons having a kinship with persons employed by the state, including a blood relationship, may make an offer or offers in terms of this invitation to bid (includes an advertised competitive bid, a limited bid, a proposal or written price quotation). In view of possible allegations of favouritism, should the resulting bid, or part thereof, be awarded to persons employed by the state, or to persons connected with or related to them, it is required that the bidder or his/her authorised representative declare his/her position in relation to the evaluating/adjudicating authority where-

- the bidder is employed by the state; and/or

- the legal person on whose behalf the bidding document is signed, has a relationship with persons/a person who are/is involved in the evaluation and or adjudication of the bid(s), or where it is known that such a relationship exists between the person or persons for or on whose behalf the declarant acts and persons who are involved with the evaluation and or adjudication of the bid.

2. In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.

2.1 Full Name of bidder or his or her representative: ……………………………………………………………

2.2 Identity Number:…………………………………………………………………………………………………...

2.3 Position occupied in the Company (director, trustee, shareholder², member): ..........................................................

2.4 Registration number of company, enterprise, close corporation, partnership agreement or trust: ..........................................................

2.5 Tax Reference Number: ………………………………………………………………………………………

2.6 VAT Registration Number: ………………………………………………………………………………………

2.6.1 The names of all directors / trustees / shareholders / members, their individual identity numbers, tax reference numbers and, if applicable, employee / PERSAL numbers must be indicated in paragraph 3 below.

¹"State" means –
(a) any national or provincial department, national or provincial public entity or constitutional institution within the meaning of the Public Finance Management Act, 1999 (Act No. 1 of 1999);
(b) any municipality or municipal entity;
(c) provincial legislature;
(d) national Assembly or the national Council of provinces; or
(e) Parliament.

²"Shareholder" means a person who owns shares in the company and is actively involved in the management of the enterprise or business and exercises control over the enterprise.
2.7 Are you or any person connected with the bidder presently employed by the state?  

YES / NO

2.7.1 If so, furnish the following particulars:

Name of person / director / trustee / shareholder/ member: ..............................................
Name of state institution at which you or the person connected to the bidder is employed: ..............................................
Position occupied in the state institution: ..............................................

Any other particulars:
..............................................................................................................
..............................................................................................................
..............................................................................................................

2.7.2 If you are presently employed by the state, did you obtain the appropriate authority to undertake remunerative work outside employment in the public sector?  

YES / NO

2.7.2.1 If yes, did you attach proof of such authority to the bid document?  

YES / NO

(Note: Failure to submit proof of such authority, where applicable, may result in the disqualification of the bid.

2.7.2.2 If no, furnish reasons for non-submission of such proof:

..............................................................................................................
..............................................................................................................
..............................................................................................................

2.8 Did you or your spouse, or any of the company's directors / trustees / shareholders / members or their spouses conduct business with the state in the previous twelve months?  

YES / NO

2.8.1 If so, furnish particulars:

..............................................................................................................
..............................................................................................................
..............................................................................................................

2.9 Do you, or any person connected with the bidder, have any relationship (family, friend, other) with a person employed by the state and who may be involved with the evaluation and or adjudication of this bid?  

YES / NO

2.9.1 If so, furnish particulars.

..............................................................................................................
2.10 Are you, or any person connected with the bidder, aware of any relationship (family, friend, other) between any other bidder and any person employed by the state who may be involved with the evaluation and or adjudication of this bid?

2.10.1 If so, furnish particulars.

2.11 Do you or any of the directors / trustees / shareholders / members of the company have any interest in any other related companies whether or not they are bidding for this contract?

2.11.1 If so, furnish particulars:

3 Full details of directors / trustees / members / shareholders.

<table>
<thead>
<tr>
<th>Full Name</th>
<th>Identity Number</th>
<th>Personal Income Tax Reference Number</th>
<th>State Employee Number / Persal Number</th>
</tr>
</thead>
<tbody>
<tr>
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</tbody>
</table>
4 DECLARATION

I, THE UNDERSIGNED (NAME)………………………………………………………………………

CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 2 and 3 ABOVE IS CORRECT. I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME SHOULD THIS DECLARATION PROVE TO BE FALSE.

........................................  ........................................
Signature                           Date

........................................  ........................................
Position                           Name of bidder

November 2011
This preference form must form part of all bids invited. It contains general information and serves as a claim form for preference points for Broad-Based Black Economic Empowerment (B-BBEE) Status Level of Contribution


1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to all bids:
- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

1.2

a) The value of this bid is estimated to not exceed R50 000 000 (all applicable taxes included) and therefore the 80/20 preference point system shall be applicable; or

1.3 Points for this bid shall be awarded for:
(a) Price; and
(b) B-BBEE Status Level of Contributor.

1.4 The maximum points for this bid are allocated as follows:

<table>
<thead>
<tr>
<th>Points for Price and B-BBEE must not exceed</th>
<th>POINTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>PRICE</td>
<td>80</td>
</tr>
<tr>
<td>B-BBEE STATUS LEVEL OF CONTRIBUTOR</td>
<td>20</td>
</tr>
</tbody>
</table>

1.5 Failure on the part of a bidder to submit proof of B-BBEE Status level of contributor together with the bid, will be interpreted to mean that preference points for B-BBEE status level of contribution are not claimed.

1.6 The purchaser reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the purchaser.
2. DEFINITIONS
(a) “B-BBEE” means broad-based black economic empowerment as defined in section 1 of the Broad-Based Black Economic Empowerment Act;
(b) “B-BBEE status level of contributor” means the B-BBEE status of an entity in terms of a code of good practice on black economic empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act;
(c) “bid” means a written offer in a prescribed or stipulated form in response to an invitation by an organ of state for the provision of goods or services, through price quotations, advertised competitive bidding processes or proposals;
(d) “Broad-Based Black Economic Empowerment Act” means the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
(e) “EME” means an Exempted Micro Enterprise in terms of a code of good practice on black economic empowerment issued in terms of section 9 (1) of the Broad-Based Black Economic Empowerment Act;
(f) “functionality” means the ability of a tenderer to provide goods or services in accordance with specifications as set out in the tender documents.
(g) “prices” includes all applicable taxes less all unconditional discounts;
(h) “proof of B-BBEE status level of contributor” means:
   1) B-BBEE Status level certificate issued by an authorized body or person;
   2) A sworn affidavit as prescribed by the B-BBEE Codes of Good Practice;
   3) Any other requirement prescribed in terms of the B-BBEE Act;
(i) “QSE” means a qualifying small business enterprise in terms of a code of good practice on black economic empowerment issued in terms of section 9 (1) of the Broad-Based Black Economic Empowerment Act;
(j) “rand value” means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;

3. POINTS AWARDED FOR PRICE
3.1 THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS
A maximum of 80 or 90 points is allocated for price on the following basis:

\[
P_s = 80 \left(1 - \frac{P_t - P_{\text{min}}}{P_{\text{min}}}\right) \quad \text{or} \quad P_s = 90 \left(1 - \frac{P_t - P_{\text{min}}}{P_{\text{min}}}\right)
\]

Where

- \(P_s\) = Points scored for price of bid under consideration
- \(P_t\) = Price of bid under consideration
- \(P_{\text{min}}\) = Price of lowest acceptable bid

4. POINTS AWARDED FOR B-BBEE STATUS LEVEL OF CONTRIBUTOR
4.1 In terms of Regulation 6 (2) and 7 (2) of the Preferential Procurement Regulations, preference points must be awarded to a bidder for attaining the B-BBEE status level of contribution in accordance with the table below:
5. **BID DECLARATION**

5.1 Bidders who claim points in respect of B-BBEE Status Level of Contribution must complete the following:

6. **B-BBEE STATUS LEVEL OF CONTRIBUTOR CLAIMED IN TERMS OF PARAGRAPHS 1.4 AND 4.1**

6.1 B-BBEE Status Level of Contributor: . = maximum 20 points

(Points claimed in respect of paragraph 7.1 must be in accordance with the table reflected in paragraph 4.1 and must be substantiated by relevant proof of B-BBEE status level of contributor.

7. **SUB-CONTRACTING**

7.1 Will any portion of the contract be sub-contracted?

(*Tick applicable box*)

YES ☐ NO ☐

7.1.1 If yes, indicate:

i) What percentage of the contract will be subcontracted? %

ii) The name of the subcontractor: ..........................................................

iii) The B-BBEE status level of the subcontractor: ..........................................

iv) Whether the sub-contractor is an EME or QSE (*Tick applicable box*)

YES ☐ NO ☐

v) Specify, by ticking the appropriate box, if subcontracting with an enterprise in terms of Preferential Procurement Regulations, 2017:

<table>
<thead>
<tr>
<th>Designated Group: An EME or QSE which is at least 51% owned by:</th>
<th>EME</th>
<th>QSE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Black people</td>
<td>√</td>
<td></td>
</tr>
<tr>
<td>Black people who are youth</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Black people who are women</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Black people with disabilities</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Black people living in rural or underdeveloped areas or townships
Cooperative owned by black people
Black people who are military veterans

OR

Any EME
Any QSE

8. DECLARATION WITH REGARD TO COMPANY/FIRM

8.1 Name of company/firm:………………………………………………………………………………

8.2 VAT registration number:…………………………………………………………………………

8.3 Company registration number:……………………………………………………………………

8.4 TYPE OF COMPANY/ FIRM

☐ Partnership/Joint Venture / Consortium
☐ One person business/sole propriety
☐ Close corporation
☐ Company
☐ (Pty) Limited

[TICK APPLICABLE BOX]

8.5 DESCRIBE PRINCIPAL BUSINESS ACTIVITIES

……………………………………………………………………………………………………
……………………………………………………………………………………………………
……………………………………………………………………………………………………
……………………………………………………………………………………………………

8.6 COMPANY CLASSIFICATION

☐ Manufacturer
☐ Supplier
☐ Professional service provider
☐ Other service providers, e.g. transporter, etc.

[TICK APPLICABLE BOX]

8.7 Total number of years the company/firm has been in business:…………………………

8.8 I/we, the undersigned, who is / are duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the B-BBE status level of contributor indicated in paragraphs 1.4 and 6.1 of the foregoing certificate, qualifies the company/ firm for the preference(s) shown and I / we acknowledge that:

i) The information furnished is true and correct;

ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;

iii) In the event of a contract being awarded as a result of points claimed as shown in
paragraphs 1.4 and 6.1, the contractor may be required to furnish documentary proof to the satisfaction of the purchaser that the claims are correct;

iv) If the B-BBEE status level of contributor has been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the purchaser may, in addition to any other remedy it may have –

(a) disqualify the person from the bidding process;
(b) recover costs, losses or damages it has incurred or suffered as a result of that person’s conduct;
(c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
(d) recommend that the bidder or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted by the National Treasury from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
(e) forward the matter for criminal prosecution.

WITNESSES
1. ........................................
2. ........................................

SIGNATURE(S) OF BIDDERS(S)

DATE: ........................................
ADDRESS ........................................
........................................
........................................
DECLARATION OF BIDDER’S PAST SUPPLY CHAIN MANAGEMENT PRACTICES

1 This Standard Bidding Document must form part of all bids invited.

2 It serves as a declaration to be used by institutions in ensuring that when goods and services are being procured, all reasonable steps are taken to combat the abuse of the supply chain management system.

3 The bid of any bidder may be disregarded if that bidder, or any of its directors have-
   a. abused the institution’s supply chain management system;
   b. committed fraud or any other improper conduct in relation to such system; or
   c. failed to perform on any previous contract.

4 In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.

<table>
<thead>
<tr>
<th>Item</th>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>4.1</td>
<td>Is the bidder or any of its directors listed on the National Treasury’s Database of Restricted Suppliers as companies or persons prohibited from doing business with the public sector? (Companies or persons who are listed on this Database were informed in writing of this restriction by the Accounting Officer/Authority of the institution that imposed the restriction after the audi alteram partem rule was applied).</td>
<td>Yes □</td>
<td>No □</td>
</tr>
<tr>
<td>4.1.1</td>
<td>If so, furnish particulars:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.2</td>
<td>Is the bidder or any of its directors listed on the Register for Tender Defaulters in terms of section 29 of the Prevention and Combating of Corrupt Activities Act (No 12 of 2004)? The Register for Tender Defaulters can be accessed on the National Treasury’s website (<a href="http://www.treasury.gov.za">www.treasury.gov.za</a>) by clicking on its link at the bottom of the home page.</td>
<td>Yes □</td>
<td>No □</td>
</tr>
<tr>
<td>4.2.1</td>
<td>If so, furnish particulars:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.3</td>
<td>Was the bidder or any of its directors convicted by a court of law (including a court outside of the Republic of South Africa) for fraud or corruption during the past five years?</td>
<td>Yes □</td>
<td>No □</td>
</tr>
<tr>
<td>4.3.1</td>
<td>If so, furnish particulars:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.4</td>
<td>Was any contract between the bidder and any organ of state terminated during the past five years on account of failure to perform on or comply with the contract?</td>
<td>Yes □</td>
<td>No □</td>
</tr>
</tbody>
</table>
4.4.1 If so, furnish particulars:

SBD 8

CERTIFICATION

I, THE UNDERSIGNED (FULL NAME)……………………………………………………………
CERTIFY THAT THE INFORMATION FURNISHED ON THIS DECLARATION FORM IS TRUE AND CORRECT.

I ACCEPT THAT, IN ADDITION TO CANCELLATION OF A CONTRACT, ACTION MAY BE TAKEN AGAINST ME SHOULD THIS DECLARATION PROVE TO BE FALSE.

Signature .......................................................... Date .................................

Position .......................................................... Name of Bidder ............................

Js365bW
CERTIFICATE OF INDEPENDENT BID DETERMINATION

1 This Standard Bidding Document (SBD) must form part of all bids¹ invited.

2 Section 4 (1) (b) (iii) of the Competition Act No. 89 of 1998, as amended, prohibits an agreement between, or concerted practice by, firms, or a decision by an association of firms, if it is between parties in a horizontal relationship and if it involves collusive bidding (or bid rigging).² Collusive bidding is a \textit{pe se} prohibition meaning that it cannot be justified under any grounds.

3 Treasury Regulation 16A9 prescribes that accounting officers and accounting authorities must take all reasonable steps to prevent abuse of the supply chain management system and authorizes accounting officers and accounting authorities to:

   a. disregard the bid of any bidder if that bidder, or any of its directors have abused the institution’s supply chain management system and or committed fraud or any other improper conduct in relation to such system.

   b. cancel a contract awarded to a supplier of goods and services if the supplier committed any corrupt or fraudulent act during the bidding process or the execution of that contract.

4 This SBD serves as a certificate of declaration that would be used by institutions to ensure that, when bids are considered, reasonable steps are taken to prevent any form of bid-rigging.

5 In order to give effect to the above, the attached Certificate of Bid Determination (SBD 9) must be completed and submitted with the bid:

¹ Includes price quotations, advertised competitive bids, limited bids and proposals.

² Bid rigging (or collusive bidding) occurs when businesses, that would otherwise be expected to compete, secretly conspire to raise prices or lower the quality of goods and / or services for purchasers who wish to acquire goods and / or services through a bidding process. Bid rigging is, therefore, an agreement between competitors not to compete.
CERTIFICATE OF INDEPENDENT BID DETERMINATION

I, the undersigned, in submitting the accompanying bid:

________________________________________________________________________

(Bid Number and Description)

in response to the invitation for the bid made by:

______________________________________________________________________________

(Name of Institution)

do hereby make the following statements that I certify to be true and complete in every respect:

I certify, on behalf of:_______________________________________________________ that:

(Name of Bidder)

1. I have read and I understand the contents of this Certificate;
2. I understand that the accompanying bid will be disqualified if this Certificate is found not to be true and complete in every respect;
3. I am authorized by the bidder to sign this Certificate, and to submit the accompanying bid, on behalf of the bidder;
4. Each person whose signature appears on the accompanying bid has been authorized by the bidder to determine the terms of, and to sign the bid, on behalf of the bidder;
5. For the purposes of this Certificate and the accompanying bid, I understand that the word “competitor” shall include any individual or organization, other than the bidder, whether or not affiliated with the bidder, who:

(a) has been requested to submit a bid in response to this bid invitation;
(b) could potentially submit a bid in response to this bid invitation, based on their qualifications, abilities or experience; and
(c) provides the same goods and services as the bidder and/or is in the same line of business as the bidder
6. The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However communication between partners in a joint venture or consortium\(^3\) will not be construed as collusive bidding.

7. In particular, without limiting the generality of paragraphs 6 above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:

   (a) prices;
   (b) geographical area where product or service will be rendered (market allocation)
   (c) methods, factors or formulas used to calculate prices;
   (d) the intention or decision to submit or not to submit, a bid;
   (e) the submission of a bid which does not meet the specifications and conditions of the bid; or
   (f) bidding with the intention not to win the bid.

8. In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications and conditions or delivery particulars of the products or services to which this bid invitation relates.

9. The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

---

\(^3\) Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.
10. I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

.................................................. ..................................................
Signature Date

.................................................. ..................................................
Position Name of Bidder

TERMS OF REFERENCE

THE APPOINTMENT OF THE SERVICE PROVIDER WHO WILL ASSIST THE DEPARTMENT OF ENVIRONMENTAL AFFAIRS WITH THE REVISION OF THE 2012 HIGHVELD PRIORITY AREA AIR QUALITY MANAGEMENT PLAN FOR A PERIOD OF TWENTY FOUR MONTHS
<table>
<thead>
<tr>
<th>Item number</th>
<th>TABLE OF CONTENTS</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Purpose</td>
<td>3</td>
</tr>
<tr>
<td>2</td>
<td>Introduction and Background</td>
<td>3-4</td>
</tr>
<tr>
<td>3</td>
<td>Objectives of the proposal</td>
<td>4-5</td>
</tr>
<tr>
<td>4</td>
<td>Scope and extend of work</td>
<td>5</td>
</tr>
<tr>
<td>5</td>
<td>Expected deliverables/outcomes</td>
<td>5-12</td>
</tr>
<tr>
<td>6</td>
<td>Period/Duration of project/assignment</td>
<td>12</td>
</tr>
<tr>
<td>7</td>
<td>Costing/Comprehensive budget</td>
<td>12</td>
</tr>
<tr>
<td>8</td>
<td>Evaluation Criteria</td>
<td>12-16</td>
</tr>
<tr>
<td>9</td>
<td>Special conditions</td>
<td>16-21</td>
</tr>
<tr>
<td>10</td>
<td>Subcontracting conditions/ requirements</td>
<td>20-21</td>
</tr>
<tr>
<td>11</td>
<td>Payment terms</td>
<td>21</td>
</tr>
<tr>
<td>12</td>
<td>Technical Enquiries</td>
<td>21</td>
</tr>
</tbody>
</table>
1. PURPOSE

To appoint a service provider who will assist the Department of Environmental Affairs (DEA) with the Revision of the 2012 Highveld Priority Area (HPA) Air Quality Management Plan (AQMP).

2. INTRODUCTION AND BACKGROUND

2.1 On the 23rd of November 2007, the Highveld Priority Area (HPA) was declared in terms of Section 19.1. (a) and (b) of the National Environmental Management: Air Quality Act, 2004 (Act No. 39 of 2004) (AQA). The declaration was based on the fact that air quality in the area was consistently exceeding national ambient air quality standards (NAAQS) as a result of mixture industrial and non-industrial sources. By declaring the HPA, the Minister was fulfilling Government’s mandate to ensure that the Constitutional right of people in the HPA to the environment (air) that is not harmful to the health and well-being is protected.

2.2 The declaration of priority area is a legislative mandate in the AQA aimed to provide key strategic elements for air quality management such as prioritising limited resources and focusing on the problems within the recognised hot-spots areas, as well as building necessary capacity to deal with air quality problem in the areas. The priority area approach also focused on the intergovernmental cooperation which allows for the management of air quality in problem areas that cross municipal boundaries to be coordinated by province and for problem area that crosses provincial boundaries to be coordinated by the national department. The priority area approach also takes into consideration the issue of “Air-shed management” which takes into account air pollutants emitted from certain areas that may contribute to air pollution in recognised hot-spots. As such, there is a need to consider the so-called air-shed, so that “up-wind” interventions can be made to improve air quality in recognised hot-spots.

2.3 Following the declaration of the HPA, an Air Quality Management Plan (AQMP) was developed. The plan provided strategic direction for the implementation of air quality interventions in this priority area, as well as an essential blueprint for action to reduce emissions in the area. The development of AQMP also includes the compilation of the Baseline Assessment Report, which revealed that criteria pollutants such as Sulphur dioxides (SO₂), Particulate Matters (PM₁₀) and Ozone (O₃) continuously exceeded the NAAQS, within the specific hotspots namely: Emalahleni (formerly known as Witbank), Secunda, Middleburg, Ermelo, Standerton, Balfor, and Komati. Urgent action is required to reduce the levels of those pollutants in the area.

2.4 The HPA AQMP is currently under implementation through continuous support and guidance from the Implementation Task Team (ITT) and the Multi Stakeholders Reference Group (MSRG). The department also initiated number of ground-breaking projects that will inform the revision of the AQMP, and those are: the Health study (i.e. Assessment of Health Impacts in HPA) which is currently underway, as well as the Sources
Apportionment study (SAS) - planned to be initiated in 2018/19. The SAS will inform the formulation of specific interventions which will directed to specific air pollution sources.

2.5 In the financial year 2015/16, the department conducted the HPA AQMP midterm review in-house, through an interactive approach with stakeholders within the HPA. The Midterm review findings show that although most of the interventions in the AQMP were implemented, air quality in the area did not improve significantly to a level were the Minister is satisfied to undeclared the priority area. The Review report also identified number of challenges experienced during the implementation of the plan, and also made recommendations to be considered when revising the AQMP. One of the shortfall identified in the AQMP implementation plan includes, but not limited to, significant gaps in the interventions, i.e. some of the intervention were irrelevant and did not make much impact in air quality after they were implemented, hence a need to review the AQMP.

2.6 The 2007 National Framework for the development of Air Quality Management in South Africa, and the 2012 Manual for the development of AQMP in South Africa recommended that AQMP should be reviewed on a five yearly basis. The HPA second generation AQMP will provide a comprehensive assessment of the status of the plan in terms of its effectiveness in producing results that are of sufficient, type and known quality needed for its intended use. It will also takes into considerations the recommendations of the 2015/16 midterm review report. The results will then inform relevant action to be taken and resources to be put in place to ensure that the second generation AQMP is implemented effectively and efficiently to ensure that air quality in the HPA meet the NAAQS.

3. OBJECTIVES
The main aim of the project is to conduct a comprehensive revision of the HPA AQMP in order to:

(a) Establish the status of the air quality in the HPA post implementation;
(b) Set new goals to improve the quality of the air and provide a basis for the development of implementation strategies;
(c) Identify significant gaps and provide recommendations to strengthen the implementation of intervention;
(d) Redefine the baseline of ambient air quality and set associated objectives for the area, with a view to possibly inform the design of a DEA Priority Area Implementation Strategy;
(e) Include current and future economic realities and their associated impacts thereof;
(f) Address the possible need for changes to functional and operational structures, AQM systems, and management objectives in light of poor performances, changing circumstances, the commitment to continual improvement and any other relevant factors;
(g) Develop interventions/emissions reduction strategies with SMART (Specific, Measurable, Attainable, Reliable and time bound) goals to improve ambient air quality in a cost effective manner; and
(h) Assess progress made in the implementation of the AQMP since 2012.

4. SCOPE AND EXTENT OF WORK

4.1 The role of the Service Providers is to assist DEA with the project to review the HPA AQMP in accordance with the provision of the National Environmental Management: Air Quality Act, 2004 (Act No. 39 of 2004).

4.2 The appointed service provider will be required to implement a project that will result in the following:

(i) Project Process Plan;
(ii) Baseline Assessment (Emission inventory, Ambient air monitoring and Dispersion modelling);
(iii) Strategy and scenario development
(iv) Implementation Plan
(v) Draft Revised HPA Air Quality Management Plan;
(vi) Final Revised HPA Air Quality Management Plan;
(vii) Capacity building; and
(viii) Participation and Efficient and effective intergovernmental coordination and cooperation.

Given the above, the scope of work will include, but is not limited to, conducting research, meetings, interviews, workshop facilitation, training courses, management and administrative activities required to generate the required project deliverables.

5. EXPECTED DELIVERABLES / OUTCOMES

The performance measures for the Revision of the Highveld Priority Area (HPA) Air Quality Management Plan (AQMP) will be closely monitored by DEA. The Service provider’s performance will be measured through the following outputs:

5.1 Output A: Project Process Plan

The appointed service provider will carry out all the work required to develop the project process plan for the revision of the 2012 HPA AQMP report. This process plan should include, amongst others:

(i) a detailed description of how the appointed service provider intends to conduct the revision of the 2012 HPA AQMP;
(ii) how to develop the Revision of the 2012 HPA AQMP Report in accordance with the project objectives;
(iii) a Gantt Bar Chart detailing the timing and critical path of key activities and milestones;
(iv) develop the capacity building plan as part of the project; and
(v) Methodology and/or best practice in the field of air quality management planning.

Table 1: Output A: Project Process Plan Success Indicators

<table>
<thead>
<tr>
<th>Description</th>
<th>Verifiable Indicator</th>
<th>Means of verification</th>
</tr>
</thead>
<tbody>
<tr>
<td>Output A: HPA AQMP Process Plan</td>
<td>A clear and unambiguous plan on how the project will be conducted</td>
<td>Process plan approved by the PSC</td>
</tr>
</tbody>
</table>

5.2 Outputs B: Baseline Assessment Report

The Service provider will be required to undertake the following Activities aimed at building up the Baseline Assessment Report, namely: Revision of the Background report, compilation of the regional Emission Inventory, Ambient air quality assessment chapters, and conducting the photochemical modelling.

5.2.1 Activity B1: Background Assessment

The appointed service provider will carry out all the required meetings, interviews, literature reviews, data collection, data analysis, etc. required to compile the initial chapter of the Revision of the 2012 Highveld Priority Area (HPA) Air Quality Management (AQMP), namely, the Baseline Assessment. This assessment should review available information in order to generate a chapter that, among others:

(i) describes the geographic background of the area (climate, topography, land use, etc.);
(ii) description of meteorology and climate of the area;
(iii) population statistics of the area;
(iv) describes sources of air pollution;
(v) clearly describes the current ambient air quality in the area and compares this to national standards;
(vi) describes the cause and effect relationships that give rise to the significant sources of air pollution;
(vii) provides details on any future threats to air quality in the area; and
(viii) identify and review recent and current air quality studies in the study area;
(ix) Available capacities in the different spheres of government; and
(x) Structures that are available to encourage participatory governance and stakeholder engagements

Please note that some of this information is available in the 2012 HPA AQMP. The role of the service provider is to update this information.
5.2.2 Activity B2: Emission Inventory

The appointed service provider will be required to develop a detailed emission inventory for the HPA as well as for the domain to be used for photochemical modelling, in the regional domain. This regional modelling domain must include the Vaal Triangle Airshed Priority Area, and stretch as far out as far as Potchefstroom and Limpopo in order for the baseline to fully establish the regional dispersion of emissions.

The service provider will be required develop a comprehensive regional emission inventory which covers all major sources, but not limited to:

- Industrial emissions – for this sector, DEA will provide the most recent NAEIS information, and where there are gaps, the service provider will update accordingly.
- Small industrial sources such as small boilers, asphalt plants, charcoal plant etc.;
- Mining activities;
- Vehicle emissions;
- Domestic fuel burning;
- Agriculture and biogenic emissions
- Biomass burning; and
- Waste.

The service provider is expected to conduct a comparative analysis of the current emission and 2012 emission inventory, and provide a narrative of circumstances that may have led to changes in air quality. Following the completion of this emission inventory, the service provider will be required to hand over all documentation, calculations, input data and output data and used in the development of the inventory.

5.2.3 Activity B3. Ambient air quality assessment

The Service Provider will deliver the following:

(i) clearly describe the current ambient air quality in the area and compares this to national standards, and assess this in relation to pollution sources;
(ii) Identify information gaps; and
(iii) analyze monitoring data and establish trends in ambient air quality since the promulgation of the 2012 HPA AQMP.

The service provider is expected to make a comparison of the Ambient air quality assessment between the current situation, and the 2012 situation, and provide a narrative of circumstances that may have led to changes in air quality.
Following the completion of the ambient air quality assessment, the service provider will be required to hand over all the input and output data used during the analysis.

5.2.4 Activity B4: Regional Photochemical Modelling

The Service Provider will be required to use photochemical Modelling at a regional scale approach. However, final decision on the modeling approach should be discussed and approved by DEA prior to any work commencement, and should be in line with the proposed Guideline to Air Dispersion Modelling for Air Quality Management in South Africa, published on the 14 December 2012 (Gazzette No. 35981).

The service provider will undertake the photochemical modelling exercise to assess the impact of all emission sources identified in 5.2.2; and provide air pollution dispersion modelling results that characterizes the impact of air pollution on ambient air quality in both time and space.

Upon completion of the project the service provider will supply the Department with copies of all input data, model parameterization and post-processing files.

5.2.5 Output B5: Incorporation of the source apportionment study results

The service provider will be required to incorporate the source apportionment study results (to be undertaken by the department in 2018/19) and provide a narrative ranking in the context of the Air Quality management Plan.

5.2.6 Output B6: Incorporation of the HPA Health study finding

The service provider will be required to synthesise the HPA health study findings into the background assessment. DEA will provide the service provider with the health study report and advice on the specific items to be included in the background assessment.

Table 2: Outputs B: Baseline Assessment Report-Success Indicators

<table>
<thead>
<tr>
<th>Description</th>
<th>Verifiable Indicator</th>
<th>Means of verification</th>
</tr>
</thead>
<tbody>
<tr>
<td>Output of Activity B: Baseline Assessment Report- Success Indicator</td>
<td>A comprehensive baseline assessment report with verifiable information; plus emission inventory database, ambient air quality data analysis, and dispersion modelling files</td>
<td>A baseline Assessment Report</td>
</tr>
</tbody>
</table>
5.3 Output C: Strategy and scenario development

5.3.1 Output C1: Scenario development
The service provider will be required to develop scenarios that depicts changes required to meet the ambient air quality standard. DEA will determined the exact description of the scenarios.

5.3.2 Output C2: Strategy development –Success indicator
The service provider will be required to conduct two stakeholders’ workshops, to develop a strategy that will inform the development of AQMP intervention. DEA will be responsible for the logistics of the two stakeholder’s workshop.

Table 3: Output C: Strategy development –Success indicator

<table>
<thead>
<tr>
<th>Description</th>
<th>Verifiable Indicator</th>
<th>Means of verification</th>
</tr>
</thead>
<tbody>
<tr>
<td>Output of Activity C: Strategy and scenario development – Success indicator</td>
<td>A chapter defining strategies that will inform AQMP interventions, and emission sources scenarios chapter compiled</td>
<td>Strategy and scenarios</td>
</tr>
</tbody>
</table>

5.4 Activity D: Implementation plan

5.4.1 Output D1: Intervention description
The service provider will be required to work in close collaboration with DEA and stakeholders to develop interventions that will be implemented over a period of time to ensure that air in the HPA meets the NAAQS. The outcomes of the strategy will be used to identify possible intervention required to improve air quality in the area. The service provider will then carry out all the work required to compile the chapters of the plan that detail these interventions (in the context of AQMP Implementation Plan). In this regard, the appointed service provider must participate in any identified bi-lateral meetings with key-stakeholders. Each, specific intervention should be incorporated into an implementation plan and must describe, among others:

(i) intervention objective (with details of expected air quality impacts);
(ii) activities;
(iii) indicators and targets;
(iv) inputs required to implement the AQMP (e.g. financial, human and technological resource inputs);
(v) timing and responsibilities; and
(vi) Financial implications of the interventions
  - Evaluate the cost-effectiveness of the identified interventions
5.4.2 Activity D2: Prioritisation of interventions

The service provider with the assistance of the stakeholders will be required to:

(i) prioritise interventions based on their potential effectiveness in improving the state of air in the area; and

(ii) provide a quantitative and qualitative rationale for prioritising specific interventions using the source apportionment study and the modelling results under output B4.

5.4.3 Activity D3: Compilation of the Implementation plan

Based on activities D1 – D2 above, the service provider will be required to compile a draft Implementation plan with SMART objectives, clear activity descriptions, clear resource requirements, clear responsibilities and indicators.

Table 4: Output of Activity D: Implementation plan - Success Indicators

<table>
<thead>
<tr>
<th>Description</th>
<th>Verifiable Indicator</th>
<th>Means of verification</th>
</tr>
</thead>
<tbody>
<tr>
<td>Output D: Implementation plan - success indicator</td>
<td>Implementation plan with SMART objectives, clear activity descriptions, clear resource requirements, clear responsibilities and indicators</td>
<td>Implementation plan</td>
</tr>
</tbody>
</table>

5.5 Output E: Monitoring and Evaluation

The service provider will be required to compile the monitoring and evaluation guideline and template on how the department should conduct the monitoring and evaluation for the Revised HPA AQMP.

Table 5: Output of Activity E: Monitoring and Evaluation - Success Indicators

<table>
<thead>
<tr>
<th>Description</th>
<th>Verifiable Indicator</th>
<th>Means of verification</th>
</tr>
</thead>
<tbody>
<tr>
<td>Output E: Monitoring and Evaluation</td>
<td>A well defined Monitoring and Evaluation Guideline for the Revised AQMP</td>
<td>Monitoring and Evaluation Guideline and template</td>
</tr>
</tbody>
</table>

5.6 Output F: Draft Revised Highveld Priority Area Air Quality Management Plan

The appointed service provider will carry out all the work required to compile a Draft Revised Highveld Priority Area Air Quality Management Plan. The draft plan will be informed by the approved structure, and it should include, mainly:

(i) Baseline assessment;

(ii) Strategy and scenario development;

(iii) Implementation plan; and

(iv) Monitoring and evaluation.
DEA will be responsible for publishing the draft AQMP, and also compile the stakeholder’s comments database thereafter.

### Table 6: Output F: Draft Revised Highveld Priority Area Air Quality Management Plan - Success Indicators

<table>
<thead>
<tr>
<th>Description</th>
<th>Verifiable Indicator</th>
<th>Means of verification</th>
</tr>
</thead>
<tbody>
<tr>
<td>Output F: Draft Priority Area Air Quality Management Plan - Success Indicators</td>
<td>A draft AQMP based on current, accurate and relevant information, informed by best practice in the field of air quality management and that provides a clear and practical plan to efficiently and effectively bring air quality in the area into sustainable compliance with national ambient air quality standards within agreed timeframes.</td>
<td>Draft Revised HPA AQMP</td>
</tr>
</tbody>
</table>

### 5.7 Output G: Final Revised Highveld Priority Area Air Quality Management Plan

The appointed service provider will work in collaboration with DEA to respond to stakeholder’s comments database, as well as carrying out all the work required to effect changes received from stakeholder’s consultation process into the final AQMP.

In order to facilitate approval, the service provider must compile an executive summary of the Revised Highveld Priority Area Air Quality Management Plan and a Power Point presentation that summarizes key elements and interventions contained in the draft plan.

### Table 7: Output G: Final Revised Highveld Priority Area Air Quality Management Plan - Success Indicator

<table>
<thead>
<tr>
<th>Description</th>
<th>Verifiable Indicator</th>
<th>Means of verification</th>
</tr>
</thead>
<tbody>
<tr>
<td>Output G: Final Review Air Quality Management Plan - Success Indicator</td>
<td>A plan based on current, accurate and relevant information, informed by best practice in the field of air quality management and that provides a clear and practical plan to efficiently and effectively bring air quality in the area into sustainable compliance with national ambient air quality standards within agreed timeframes.</td>
<td>Final AQMP compiled</td>
</tr>
</tbody>
</table>

### 5.8 Output H: Capacity building/ Development

The service provider must implement a capacity building plan outlined in output 5.1 (iv). The department will assign three officials to work fulltime on this project. The service provider will be required to engage and involve these departmental personnel in all aspects of the project and provide hands-on training, mentorship and ensure effective skills-transfer.
As part of skills transfer, the service provider also be required to provide a two days training to approximately 40 Authorities responsible for implementing the HPA AQMP. A skills gap analysis should be conducted to inform the type of training required for Authorities, and all logistics for the training will be handled by DEA.

Table 8: Output H: Capacity development - Success Indicators

<table>
<thead>
<tr>
<th>Description</th>
<th>Verifiable Indicator</th>
<th>Means of verification</th>
</tr>
</thead>
<tbody>
<tr>
<td>Output H: Capacity Development – success indicator</td>
<td>Active involvement of departmental staff in the implementation of the project.</td>
<td>Training manual developed Attendance register</td>
</tr>
</tbody>
</table>

6. **PERIOD / DURATION OF PROJECT / ASSIGNMENT**

   Project must be completed within a period twenty four months or two years after the signing of the SLA by both parties.

7. **COSTING / COMPREHENSIVE BUDGET**

   Comprehensive budget must be provided in a separate envelope inclusive of all disbursement costs, expenses and VAT.

8. **EVALUATION CRITERIA**

   8.1 **Pre-Qualification Criteria**

   Must be completed by bidder by answering yes or no and attach proof.

<table>
<thead>
<tr>
<th>Pre-qualification criteria:</th>
<th>Requirement</th>
<th>Comply: Yes or No</th>
</tr>
</thead>
<tbody>
<tr>
<td>N/A</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

   8.1.1 Pre-qualification criteria number three (sub-contracting) is only applicable if it is feasible to subcontract for a contract above R30 million, the department must apply subcontracting to advance an EME or QSE which is at least 51 owned by black people designated groups.
8.1.2 If the Department applies subcontracting, the tender must advertise the tender with a specific tendering condition that the successful tenderer must subcontract a minimum of 30% of the value of the contract.

8.1.3 In a case whereby sub-contracting is not set as a pre-qualification criteria, however the tenderer is intending to sub-contract, such tenderer awarded a contract may only enter into subcontracting arrangement with the approval of the department. A tenderer awarded a contract may not subcontract more than 25% of the value of the contract to any other enterprise that does not have an equal or higher B-BBEE status level of contributor than the person concerned, unless the contract is subcontracted to an EME that has the capability and ability to execute the subcontract.

8.2 Functionality Criteria
8.2.1 Only bid proposals that meets pre-qualification, mandatory requirement and local content and production if is applicable will be considered to be evaluated on functionality criteria,

8.2.2 Stage 1: The bidder must score a minimum of 75% (depending on the nature of the project) during Stage 1 (functionality / technical) of the evaluation to qualify for Stage 2 of the evaluation where only points for price and B-BBEE will be considered.

8.2.3 The following values/ indicators will be applicable when evaluating functionality:
0 = Non-compliance, 1 = Poor; 2 = Fair; 3 = Average; 4 = Good; 5 = Excellent.

<table>
<thead>
<tr>
<th>No.</th>
<th>Category</th>
<th>Weight/Value</th>
<th>Score</th>
<th>Total (Weight X Value awarded)</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>FUNCTIONALITY (To be determine by project managers in line with scope of work)</td>
<td>100</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1.</td>
<td>Bidder understands of the brief and the method to be employed. Outline and insight information provided in the bid document (relevance and accuracy)</td>
<td>10</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
| 2.  | Capability (profiles of key staff and persons to be assigned to the project). Experience and track record in :
| | • Air Quality Management Planning, especially the development of emission | 30 | | |
inventory, and photochemical air dispersion Modelling modelling, etc.)  
- Project team members – submit names and CV- with relevant experience (at least two years ) in air quality management planning

3. A proposed plan of action to achieve the objectives. 40

4. Company's experience: at least two years' experience in the fields of Air Quality Management Planning, especially the development of emission inventory, and Photochemical air dispersion Modelling (attach reference letters) 20

8.3 STAGE 2: Preference Point System (80/20).

8.3.1. Calculation of points for price
The PPPFA prescribes that the lowest acceptable bid will score 80 points for price. Bidders that quoted higher prices will score lower points for price on a pro-rata basis. Where functionality is set as a criteria, only bid proposals that meets functionality requirements will be considered to be evaluated on price and B-BBEE.

8.3.2. Calculating of points for B-BBEE status level of contribution
Points will be awarded to a bidder for attaining the B-BBEE status level of contribution or a sworn affidavit certified by the commissioner of oath in accordance with the table below:

<table>
<thead>
<tr>
<th>B.</th>
<th>PRICE</th>
<th>80</th>
</tr>
</thead>
<tbody>
<tr>
<td>C.</td>
<td>B-BBEE Status Level Contributor</td>
<td>Number of points (80/20)</td>
</tr>
<tr>
<td>1</td>
<td>20</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>18</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>14</td>
<td></td>
</tr>
</tbody>
</table>
8.3.3 Requirements for B-BBEE

a) Bidders must submit original and valid B-BBEE Status Level Verification Certificate or certified copies thereof, issued by SANAS only. The Exempted Micro Enterprise that do not have B-BBEE certificate must submit an original/ certified copy of the DTI sworn affidavit in terms of Codes of good practice certified by Commissioner of Oath together with their bids, to substantiate their B-BBEE claims.

b) A trust, consortium or joint venture must submit a consolidated B-BBEE Status Level Verification Certificate for every separate bid to substantiate their B-BBEE rating claims. Bidders who do not submit B-BBEE Status Level Verification Certificates or are non-compliant contributors to B-BBEE do not qualify for preference points for BBBEE but should not be disqualified from the bidding process.

c) They will score points out of 80 for price only and zero (0) points out of 20 for BBBEE. The points scored by a tenderer for B-BBEE contribution must be added to the points scored for price and should be rounded off to the nearest two decimal places.

d) In a case whereby a pre-qualification criteria requires BBB-EE status level of contributor, all tenderer who failed to submit an original and valid B-BBEE Status Level Certificate or certified copies thereof, issued by SANAS or an original/ certified copy of the DTI sworn affidavit will be disqualified on Pre-qualification stage and will not reach preference point system stage due to non-compliance.

e) A bidder will not be awarded the points claimed for B-BBEE status level of contribution if it is indicated in the bid documents that such a bidder intends subcontracting more that 25% of the contract value to any other enterprise that does not qualify for at least the same number of points that the bidder qualifies for, unless the intended sub-contractor is an EME that has the capability and ability to execute the sub-contract.

f) The contract will be awarded to the tenderer scoring the highest points.
9. **SPECIAL CONDITIONS OF CONTRACT**

9.1 The performance measures for the delivery of the revision of the 2012 Highveld Priority Area (HPA) Air Quality Management Plan (AQMP) will be closely monitored by DEA.

9.2 The Service Provider/s will submit the monthly and quarterly soft copies progress reports to the Programme manager, within 4 days after the end of each month and quarter for the duration of the project. Failure to submit the required reports on time will result in penalties.

9.3 The Programme manager shall do the ongoing performance management of the Service agreement.

9.4 The Service Provider/s must guarantee the presence of the senior in charge of fieldwork throughout the duration of the contract. Prior to the appointment of a replacement, the Programme Manager must approve such appointment. If the senior has to leave the project, a period of at least a month is required, in which the senior must work parallel with the next person (senior consultant with similar expertise and equal years of experience) appointed able to transfer skills and knowledge.

9.5 All the conditions specified in the **General Conditions of Contract (GCC)** will apply and where the conditions in the special conditions of contract contradicts the conditions in the general conditions of contract the special conditions of contract will prevail.

9.6 Please take note that DEA is not bound to select any of the firms submitting proposals. DEA reserves the right not to award any of the bids and not to award the contract to the lowest bidding price.

9.7 Bidders must score a minimum of **75%** (The minimum qualifying score that must be obtained for functionality in order for a tender to be considered further should not be generic. It should be determined separately for each tender on a case-by-case basis. The minimum qualifying score must not be prescribed so low that it may jeopardize the quality of the service required nor so high that it may be restrictive to the extent that it jeopardizes the fairness of the SCM system).

9.8 The proposal should include, amongst other, the following:

- A proposed plan of action;
- A list of references;
- Ability to ensure continuing of staff on the project.
9.9 A valid copy of the Tax Clearance Certificate/ Tax Compliance Status Pin issued by SARS to the supplier/copy of Central Supplier Database (CSD)/ MA supplier Number must be submitted together with the bid.

9.10 In case of bids where Consortia / Joint Ventures / Sub-contractors are involved, such must be clearly indicated and each party must submit a separate copy of a valid Tax Clearance Certificate or copy of Tax Compliance Status Pin or CSD/ MA supplier Number together with the bid.

9.11 Failure to submit a valid Tax Clearance Certificate/ Tax Compliance Status Pin/ copy of CSD/ MA supplier Number may result in the invalidation of the bid.

9.12 A foreign recommended bidder with neither South African tax obligation nor history of doing business in South Africa must complete Standard Bidding Document (SBD 1) and the information must be submitted to SARS on the following email governmentinstitute@sars.gov.za to issue a confirmation of the tax obligation letter in terms of paragraph 3.6 of the instruction note no 9 of 2017/18.

9.13 Comprehensive Curriculum Vitaes’ (CV) of the staff who will be available for the duration of the contract must be attached. In case where bidders submitted CV’s of personnel from other companies, bidders must indicate if the personnel is sub-contracted or employed full time or part-time, and indicate the period of the engagement with the bidder. A signed agreement between two parties or an agreement between personnel and a company must be included with the proposal.

9.13 The bid proposals should be submitted with all required information containing technical information.

9.15 DEA Entity Maintenance form included in the bid documents must be completed and returned with the bid proposals.

9.16 Bidders must be prepared to work at rates not exceeding those prescribed by the office of the Auditor-General or the Department of Public Service and Administration (DPSA).

9.17 A breakdown of the hourly tariff inclusive of value-added tax for services rendered. Expenditure incurred without the prior approval of the Programme manager will not be reimbursed.

9.18 DEA will not be held responsible for any costs incurred by the bidder in the preparation, presentation and submission of the bids.
9.19 Travelling costs and time spent or incurred between home and office of consultants and DEA office will not be for the account of DEA.

9.20 Skills transfer to DEA officials: The department will assign officials to work fulltime on this project. The appointed service provider is required to engage and involve these departmental personnel in all aspects of the project and provide hands-on training, mentorship and ensure effective skills-transfer.

9.21 Intellectual property rights will belong to DEA.

9.22 Tenderers must submit two identical proposals (two envelopes) for each bid clearly marked “original” and “copy”. First envelope marked original to include technical proposal (original and copy of technical) and the second envelope marked copy to include financial proposal (original and copy of financials).

9.22.1 First envelope with the technical proposal including the following:
- A valid copy of the Tax Clearance Certificate or Tax Compliance Status Pin issued by SARS or copy of CSD/MA Supplier Number.
- Entity registration Certificate (CK1)
- A response to the terms of reference.
- A project plan that states the methodology and approach for accomplishing the task, project phases if applicable, time frames and outputs (excluding cost for the project).
- Profile of the company and description of similar work undertaken,
- Numbers, names and CVs of consultants assigned to the project, including their roles and responsibilities,
- Agreement between service providers in the case of a joint venture/Consortium
- Letter of authority to sign documents on behalf of the company/joint venture/Consortium.

9.22.2 The second envelope with the financial proposal (pricing schedule (SBD3.3) or other spreadsheets with all cost related items, cost breakdown) (original). No financials should be included in the technical proposal (envelope).

9.22.3 The following information must be endorsed on each envelope:
- Bid number:
- Closing date:
• Name of the Bidder:
• Technical Proposal or Financial Proposal.

9.23 DEA reserves the right to award the contract to one or more than one service provider or only part thereof e.g. (per District / Municipality or Province)

9.24 Before any work can commence the service level agreement must be signed by both parties (DEA and the successful bidder) as well as the issue of an official order and should there be any dispute regarding the finalisation of the agreement, DEA reserves the right to cancel the contract with no cost implications for the Department.

9.25 The evaluation of Bids can only be done based on information required by the department.

9.26 Bidders failing to meet pre-qualification criteria, mandatory requirements, local production and content will automatically be disqualified.

9.27 Tenderers are requested to submit the original and valid B-BBEE Status Level Verification Certificate or certified copies thereof issued by SANAS only or DTI SWORN Affidavit certified by Commissioner of Oath together with their bids, to substantiate their B-BBEE rating claims, failing which the B-BBEE preference points claimed will be forfeited.

9.28 In a case whereby a pre-qualification criteria requires B-BBEE status level of contributor bidders MUST submit an original or certified copies of B-BBEE certificate or DTI SWORN affidavit, failure to submit an original or certified copies will result in bid being disqualified.

9.29 A trust, consortium or joint venture must submit a consolidated B-BBEE Status Level Verification Certificate for every separate bid to substantiate their B-BBEE rating claims.

9.30 Public entities and tertiary institutions must also submit B-BBEE Status Level Verification Certificates together with their bids.

9.31 A bidder will not be awarded the points claimed for B-BBEE status level of contribution if it is indicated in the bid documents that such a bidder intends sub-contracting more than 25% of the contract value to any other enterprise that does not qualify for at least the same number of points that the bidder qualifies for
unless the intended sub-contractor is an EME (Exempted Micro Enterprise) that has the capacity and the ability to execute the sub-contract.

9.32 A contractor is not allowed to sub-contract more than 25% of the contract value to another enterprise that does not have equal or higher B-BBEE status level, unless the intended sub-contractor is an EME (Exempted Micro Enterprise) that has the capability and ability to execute the sub-contract.

9.33 Prospective suppliers and/or public entities interested in pursuing opportunities with the Department and within the South African government must register on the National Treasury Central Supplier Database.

9.34 Prospective suppliers and/or public entities must provide the department with their CSD registration number on submission of their bid proposals including those of sub-contractors and/or joint venture companies.

9.35 Any supplier who is not registered on CSD during an award stage of the tender will not be considered.

10 Sub-contracting conditions/requirements

10.1 In a case whereby sub-contracting is not set as a pre-qualification criteria, however the tenderer is intending to sub-contract portion of work, such tenderer awarded a contract may only enter into sub-contracting arrangements with the approval of the department.

10.2 In relation to a designated sector, a contractor will not be allowed to subcontract in such a manner that the local production and content of the overall value of the contract is reduced to below the stipulated minimum threshold.

10.3 A tenderer will not be awarded the points claimed for B-BBEE status level of contribution or contract if it is indicated in the bid documents that such a bidder intends subcontracting more that 25% of the contract value to any other enterprise that does not qualify for at least the same number of points that the bidder qualifies for, unless the intended sub-contractor is an EME that has the capability and ability to execute the sub-contract.

10.4 The contractor is not allowed to sub-contract more than 25% of the contract value to another enterprise that does not have equal or higher B-BBEE status level, unless the intended sub-contractor is an EME that has the capability and ability to execute the sub-contract.
11 Payment terms

11.1 DEA undertakes to pay out in full or as per deliverables within 30 (thirty) days all valid claims for work done to its satisfaction upon presentation of a substantiated claim and the required reports stipulated in special conditions. No payment will be made where there is outstanding information/work not submitted by the Service Provider/s until that outstanding information is submitted.

12 Technical enquiries

Should you require any further information in this regard, please do not hesitate to contact:

Project Director:
Ms. Elizabeth Masekoameng
The Project Director
Director: Atmospheric Policy, Regulation and Planning,
Private Bag X447, Pretoria, 0001
Office Telephone No: (012) 399 9202,
E-mail: EMasekoameng@environment.gov.za

OR / alternatively

Project Manager:
Mrs. Ricca Marowe
Project Manager
Deputy Director: Priority Area Planning
Office Telephone No: (012) 399 9207,
E-mail: RMarowe@environment.gov.za
GOVERNMENT PROCUREMENT:
GENERAL CONDITIONS OF CONTRACT

July 2010
NOTES

The purpose of this document is to:

(i)   Draw special attention to certain general conditions applicable to government bids, contracts and orders; and
(ii)  To ensure that clients be familiar with regard to the rights and obligations of all parties involved in doing business with government.

In this document words in the singular also mean in the plural and vice versa and words in the masculine also mean in the feminine and neuter.

- The General Conditions of Contract will form part of all bid documents and may not be amended.

- Special Conditions of Contract (SCC) relevant to a specific bid, should be compiled separately for every bid (if applicable) and will supplement the General Conditions of Contract. Whenever there is a conflict, the provisions in the SCC shall prevail.
TABLE OF CLAUSES

1. Definitions
2. Application
3. General
4. Standards
5. Use of contract documents and information; inspection
6. Patent rights
7. Performance security
8. Inspections, tests and analysis
9. Packing
10. Delivery and documents
11. Insurance
12. Transportation
13. Incidental services
14. Spare parts
15. Warranty
16. Payment
17. Prices
18. Contract amendments
19. Assignment
20. Subcontracts
21. Delays in the supplier’s performance
22. Penalties
23. Termination for default
24. Dumping and countervailing duties
25. Force Majeure
26. Termination for insolvency
27. Settlement of disputes
28. Limitation of liability
29. Governing language
30. Applicable law
31. Notices
32. Taxes and duties
33. National Industrial Participation Programme (NIPP)
34. Prohibition of restrictive practices
1. **Definitions**

1. The following terms shall be interpreted as indicated:

1.1 “Closing time” means the date and hour specified in the bidding documents for the receipt of bids.

1.2 “Contract” means the written agreement entered into between the purchaser and the supplier, as recorded in the contract form signed by the parties, including all attachments and appendices thereto and all documents incorporated by reference therein.

1.3 “Contract price” means the price payable to the supplier under the contract for the full and proper performance of his contractual obligations.

1.4 “Corrupt practice” means the offering, giving, receiving, or soliciting of any thing of value to influence the action of a public official in the procurement process or in contract execution.

1.5 "Countervailing duties" are imposed in cases where an enterprise abroad is subsidized by its government and encouraged to market its products internationally.

1.6 “Country of origin” means the place where the goods were mined, grown or produced or from which the services are supplied. Goods are produced when, through manufacturing, processing or substantial and major assembly of components, a commercially recognized new product results that is substantially different in basic characteristics or in purpose or utility from its components.

1.7 “Day” means calendar day.

1.8 “Delivery” means delivery in compliance of the conditions of the contract or order.

1.9 “Delivery ex stock” means immediate delivery directly from stock actually on hand.

1.10 “Delivery into consignees store or to his site” means delivered and unloaded in the specified store or depot or on the specified site in compliance with the conditions of the contract or order, the supplier bearing all risks and charges involved until the supplies are so delivered and a valid receipt is obtained.

1.11 "Dumping" occurs when a private enterprise abroad market its goods on own initiative in the RSA at lower prices than that of the country of origin and which have the potential to harm the local industries in the
1.12 "Force majeure" means an event beyond the control of the supplier and not involving the supplier’s fault or negligence and not foreseeable. Such events may include, but is not restricted to, acts of the purchaser in its sovereign capacity, wars or revolutions, fires, floods, epidemics, quarantine restrictions and freight embargoes.

1.13 “Fraudulent practice” means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of any bidder, and includes collusive practice among bidders (prior to or after bid submission) designed to establish bid prices at artificial non-competitive levels and to deprive the bidder of the benefits of free and open competition.

1.14 “GCC” means the General Conditions of Contract.

1.15 “Goods” means all of the equipment, machinery, and/or other materials that the supplier is required to supply to the purchaser under the contract.

1.16 “Imported content” means that portion of the bidding price represented by the cost of components, parts or materials which have been or are still to be imported (whether by the supplier or his subcontractors) and which costs are inclusive of the costs abroad, plus freight and other direct importation costs such as landing costs, dock dues, import duty, sales duty or other similar tax or duty at the South African place of entry as well as transportation and handling charges to the factory in the Republic where the supplies covered by the bid will be manufactured.

1.17 “Local content” means that portion of the bidding price which is not included in the imported content provided that local manufacture does take place.

1.18 “Manufacture” means the production of products in a factory using labour, materials, components and machinery and includes other related value-adding activities.

1.19 “Order” means an official written order issued for the supply of goods or works or the rendering of a service.

1.20 “Project site,” where applicable, means the place indicated in bidding documents.

1.21 “Purchaser” means the organization purchasing the goods.

1.22 “Republic” means the Republic of South Africa.

1.23 “SCC” means the Special Conditions of Contract.

1.24 “Services” means those functional services ancillary to the supply of the goods, such as transportation and any other incidental services, such as installation, commissioning, provision of technical assistance, training, catering, gardening, security, maintenance and other such
obligations of the supplier covered under the contract.

1.25 “Written” or “in writing” means handwritten in ink or any form of electronic or mechanical writing.

2. Application

2.1 These general conditions are applicable to all bids, contracts and orders including bids for functional and professional services, sales, hiring, letting and the granting or acquiring of rights, but excluding immovable property, unless otherwise indicated in the bidding documents.

2.2 Where applicable, special conditions of contract are also laid down to cover specific supplies, services or works.

2.3 Where such special conditions of contract are in conflict with these general conditions, the special conditions shall apply.

3. General

3.1 Unless otherwise indicated in the bidding documents, the purchaser shall not be liable for any expense incurred in the preparation and submission of a bid. Where applicable a non-refundable fee for documents may be charged.

3.2 With certain exceptions, invitations to bid are only published in the Government Tender Bulletin. The Government Tender Bulletin may be obtained directly from the Government Printer, Private Bag X85, Pretoria 0001, or accessed electronically from www.treasury.gov.za

4. Standards

4.1 The goods supplied shall conform to the standards mentioned in the bidding documents and specifications.

5. Use of contract documents and information; inspection.

5.1 The supplier shall not, without the purchaser’s prior written consent, disclose the contract, or any provision thereof, or any specification, plan, drawing, pattern, sample, or information furnished by or on behalf of the purchaser in connection therewith, to any person other than a person employed by the supplier in the performance of the contract. Disclosure to any such employed person shall be made in confidence and shall extend only so far as may be necessary for purposes of such performance.

5.2 The supplier shall not, without the purchaser’s prior written consent, make use of any document or information mentioned in GCC clause 5.1 except for purposes of performing the contract.

5.3 Any document, other than the contract itself mentioned in GCC clause 5.1 shall remain the property of the purchaser and shall be returned (all copies) to the purchaser on completion of the supplier’s performance under the contract if so required by the purchaser.

5.4 The supplier shall permit the purchaser to inspect the supplier’s records relating to the performance of the supplier and to have them audited by auditors appointed by the purchaser, if so required by the purchaser.

6. Patent rights

6.1 The supplier shall indemnify the purchaser against all third-party claims of infringement of patent, trademark, or industrial design rights arising from use of the goods or any part thereof by the purchaser.
7. Performance security

7.1 Within thirty (30) days of receipt of the notification of contract award, the successful bidder shall furnish to the purchaser the performance security of the amount specified in SCC.

7.2 The proceeds of the performance security shall be payable to the purchaser as compensation for any loss resulting from the supplier’s failure to complete his obligations under the contract.

7.3 The performance security shall be denominated in the currency of the contract, or in a freely convertible currency acceptable to the purchaser and shall be in one of the following forms:

(a) a bank guarantee or an irrevocable letter of credit issued by a reputable bank located in the purchaser’s country or abroad, acceptable to the purchaser, in the form provided in the bidding documents or another form acceptable to the purchaser; or

(b) a cashier’s or certified cheque

7.4 The performance security will be discharged by the purchaser and returned to the supplier not later than thirty (30) days following the date of completion of the supplier’s performance obligations under the contract, including any warranty obligations, unless otherwise specified in SCC.

8. Inspections, tests and analyses

8.1 All pre-bidding testing will be for the account of the bidder.

8.2 If it is a bid condition that supplies to be produced or services to be rendered should at any stage during production or execution or on completion be subject to inspection, the premises of the bidder or contractor shall be open, at all reasonable hours, for inspection by a representative of the Department or an organization acting on behalf of the Department.

8.3 If there are no inspection requirements indicated in the bidding documents and no mention is made in the contract, but during the contract period it is decided that inspections shall be carried out, the purchaser shall itself make the necessary arrangements, including payment arrangements with the testing authority concerned.

8.4 If the inspections, tests and analyses referred to in clauses 8.2 and 8.3 show the supplies to be in accordance with the contract requirements, the cost of the inspections, tests and analyses shall be defrayed by the purchaser.

8.5 Where the supplies or services referred to in clauses 8.2 and 8.3 do not comply with the contract requirements, irrespective of whether such supplies or services are accepted or not, the cost in connection with these inspections, tests or analyses shall be defrayed by the supplier.

8.6 Supplies and services which are referred to in clauses 8.2 and 8.3 and which do not comply with the contract requirements may be rejected.

8.7 Any contract supplies may on or after delivery be inspected, tested or
analyzed and may be rejected if found not to comply with the requirements of the contract. Such rejected supplies shall be held at the cost and risk of the supplier who shall, when called upon, remove them immediately at his own cost and forthwith substitute them with supplies which do comply with the requirements of the contract. Failing such removal the rejected supplies shall be returned at the suppliers cost and risk. Should the supplier fail to provide the substitute supplies forthwith, the purchaser may, without giving the supplier further opportunity to substitute the rejected supplies, purchase such supplies as may be necessary at the expense of the supplier.

8.8 The provisions of clauses 8.4 to 8.7 shall not prejudice the right of the purchaser to cancel the contract on account of a breach of the conditions thereof, or to act in terms of Clause 23 of GCC.

9. Packing

9.1 The supplier shall provide such packing of the goods as is required to prevent their damage or deterioration during transit to their final destination, as indicated in the contract. The packing shall be sufficient to withstand, without limitation, rough handling during transit and exposure to extreme temperatures, salt and precipitation during transit, and open storage. Packing, case size and weights shall take into consideration, where appropriate, the remoteness of the goods’ final destination and the absence of heavy handling facilities at all points in transit.

9.2 The packing, marking, and documentation within and outside the packages shall comply strictly with such special requirements as shall be expressly provided for in the contract, including additional requirements, if any, specified in SCC, and in any subsequent instructions ordered by the purchaser.

10. Delivery and documents

10.1 Delivery of the goods shall be made by the supplier in accordance with the terms specified in the contract. The details of shipping and/or other documents to be furnished by the supplier are specified in SCC.

10.2 Documents to be submitted by the supplier are specified in SCC.

11. Insurance

11.1 The goods supplied under the contract shall be fully insured in a freely convertible currency against loss or damage incidental to manufacture, acquisition, transportation, storage and delivery in the manner specified in the SCC.

12. Transportation

12.1 Should a price other than an all-inclusive delivered price be required, this shall be specified in the SCC.

13. Incidental services

13.1 The supplier may be required to provide any or all of the following services, including additional services, if any, specified in SCC:

(a) performance or supervision of on-site assembly and/or commissioning of the supplied goods;
(b) furnishing of tools required for assembly and/or maintenance of the supplied goods;
(c) furnishing of a detailed operations and maintenance manual for each appropriate unit of the supplied goods;
(d) performance or supervision or maintenance and/or repair of the supplied goods, for a period of time agreed by the parties, provided that this service shall not relieve the supplier of any warranty obligations under this contract; and

(e) training of the purchaser’s personnel, at the supplier’s plant and/or on-site, in assembly, start-up, operation, maintenance, and/or repair of the supplied goods.

13.2 Prices charged by the supplier for incidental services, if not included in the contract price for the goods, shall be agreed upon in advance by the parties and shall not exceed the prevailing rates charged to other parties by the supplier for similar services.

14. **Spare parts**

14.1 As specified in SCC, the supplier may be required to provide any or all of the following materials, notifications, and information pertaining to spare parts manufactured or distributed by the supplier:

(a) such spare parts as the purchaser may elect to purchase from the supplier, provided that this election shall not relieve the supplier of any warranty obligations under the contract; and

(b) in the event of termination of production of the spare parts:
   (i) Advance notification to the purchaser of the pending termination, in sufficient time to permit the purchaser to procure needed requirements; and
   (ii) following such termination, furnishing at no cost to the purchaser, the blueprints, drawings, and specifications of the spare parts, if requested.

15. **Warranty**

15.1 The supplier warrants that the goods supplied under the contract are new, unused, of the most recent or current models, and that they incorporate all recent improvements in design and materials unless provided otherwise in the contract. The supplier further warrants that all goods supplied under this contract shall have no defect, arising from design, materials, or workmanship (except when the design and/or material is required by the purchaser’s specifications) or from any act or omission of the supplier, that may develop under normal use of the supplied goods in the conditions prevailing in the country of final destination.

15.2 This warranty shall remain valid for twelve (12) months after the goods, or any portion thereof as the case may be, have been delivered to and accepted at the final destination indicated in the contract, or for eighteen (18) months after the date of shipment from the port or place of loading in the source country, whichever period concludes earlier, unless specified otherwise in SCC.

15.3 The purchaser shall promptly notify the supplier in writing of any claims arising under this warranty.

15.4 Upon receipt of such notice, the supplier shall, within the period specified in SCC and with all reasonable speed, repair or replace the defective goods or parts thereof, without costs to the purchaser.

15.5 If the supplier, having been notified, fails to remedy the defect(s) within the period specified in SCC, the purchaser may proceed to take
such remedial action as may be necessary, at the supplier’s risk and expense and without prejudice to any other rights which the purchaser may have against the supplier under the contract.

16. Payment

16.1 The method and conditions of payment to be made to the supplier under this contract shall be specified in SCC.

16.2 The supplier shall furnish the purchaser with an invoice accompanied by a copy of the delivery note and upon fulfillment of other obligations stipulated in the contract.

16.3 Payments shall be made promptly by the purchaser, but in no case later than thirty (30) days after submission of an invoice or claim by the supplier.

16.4 Payment will be made in Rand unless otherwise stipulated in SCC.

17. Prices

17.1 Prices charged by the supplier for goods delivered and services performed under the contract shall not vary from the prices quoted by the supplier in his bid, with the exception of any price adjustments authorized in SCC or in the purchaser’s request for bid validity extension, as the case may be.

18. Contract amendments

18.1 No variation in or modification of the terms of the contract shall be made except by written amendment signed by the parties concerned.

19. Assignment

19.1 The supplier shall not assign, in whole or in part, its obligations to perform under the contract, except with the purchaser’s prior written consent.

20. Subcontracts

20.1 The supplier shall notify the purchaser in writing of all subcontracts awarded under this contracts if not already specified in the bid. Such notification, in the original bid or later, shall not relieve the supplier from any liability or obligation under the contract.

21. Delays in the supplier’s performance

21.1 Delivery of the goods and performance of services shall be made by the supplier in accordance with the time schedule prescribed by the purchaser in the contract.

21.2 If at any time during performance of the contract, the supplier or its subcontractor(s) should encounter conditions impeding timely delivery of the goods and performance of services, the supplier shall promptly notify the purchaser in writing of the fact of the delay, its likely duration and its cause(s). As soon as practicable after receipt of the supplier’s notice, the purchaser shall evaluate the situation and may at his discretion extend the supplier’s time for performance, with or without the imposition of penalties, in which case the extension shall be ratified by the parties by amendment of contract.

21.3 No provision in a contract shall be deemed to prohibit the obtaining of supplies or services from a national department, provincial department, or a local authority.

21.4 The right is reserved to procure outside of the contract small quantities or to have minor essential services executed if an emergency arises, the
supplier’s point of supply is not situated at or near the place where the supplies are required, or the supplier’s services are not readily available.

21.5 Except as provided under GCC Clause 25, a delay by the supplier in the performance of its delivery obligations shall render the supplier liable to the imposition of penalties, pursuant to GCC Clause 22, unless an extension of time is agreed upon pursuant to GCC Clause 21.2 without the application of penalties.

21.6 Upon any delay beyond the delivery period in the case of a supplies contract, the purchaser shall, without canceling the contract, be entitled to purchase supplies of a similar quality and up to the same quantity in substitution of the goods not supplied in conformity with the contract and to return any goods delivered later at the supplier’s expense and risk, or to cancel the contract and buy such goods as may be required to complete the contract and without prejudice to his other rights, be entitled to claim damages from the supplier.

22. Penalties

22.1 Subject to GCC Clause 25, if the supplier fails to deliver any or all of the goods or to perform the services within the period(s) specified in the contract, the purchaser shall, without prejudice to its other remedies under the contract, deduct from the contract price, as a penalty, a sum calculated on the delivered price of the delayed goods or unperformed services using the current prime interest rate calculated for each day of the delay until actual delivery or performance. The purchaser may also consider termination of the contract pursuant to GCC Clause 23.

23. Termination for default

23.1 The purchaser, without prejudice to any other remedy for breach of contract, by written notice of default sent to the supplier, may terminate this contract in whole or in part:

(a) if the supplier fails to deliver any or all of the goods within the period(s) specified in the contract, or within any extension thereof granted by the purchaser pursuant to GCC Clause 21.2;

(b) if the Supplier fails to perform any other obligation(s) under the contract; or

(c) if the supplier, in the judgment of the purchaser, has engaged in corrupt or fraudulent practices in competing for or in executing the contract.

23.2 In the event the purchaser terminates the contract in whole or in part, the purchaser may procure, upon such terms and in such manner as it deems appropriate, goods, works or services similar to those undelivered, and the supplier shall be liable to the purchaser for any excess costs for such similar goods, works or services. However, the supplier shall continue performance of the contract to the extent not terminated.

23.3 Where the purchaser terminates the contract in whole or in part, the purchaser may decide to impose a restriction penalty on the supplier by prohibiting such supplier from doing business with the public sector for a period not exceeding 10 years.

23.4 If a purchaser intends imposing a restriction on a supplier or any
person associated with the supplier, the supplier will be allowed a time period of not more than fourteen (14) days to provide reasons why the envisaged restriction should not be imposed. Should the supplier fail to respond within the stipulated fourteen (14) days the purchaser may regard the intended penalty as not objected against and may impose it on the supplier.

23.5 Any restriction imposed on any person by the Accounting Officer / Authority will, at the discretion of the Accounting Officer / Authority, also be applicable to any other enterprise or any partner, manager, director or other person who wholly or partly exercises or exercised or may exercise control over the enterprise of the first-mentioned person, and with which enterprise or person the first-mentioned person, is or was in the opinion of the Accounting Officer / Authority actively associated.

23.6 If a restriction is imposed, the purchaser must, within five (5) working days of such imposition, furnish the National Treasury, with the following information:
(i) the name and address of the supplier and / or person restricted by the purchaser;
(ii) the date of commencement of the restriction
(iii) the period of restriction; and
(iv) the reasons for the restriction.

These details will be loaded in the National Treasury’s central database of suppliers or persons prohibited from doing business with the public sector.

23.7 If a court of law convicts a person of an offence as contemplated in sections 12 or 13 of the Prevention and Combating of Corrupt Activities Act, No. 12 of 2004, the court may also rule that such person’s name be endorsed on the Register for Tender Defaulters. When a person’s name has been endorsed on the Register, the person will be prohibited from doing business with the public sector for a period not less than five years and not more than 10 years. The National Treasury is empowered to determine the period of restriction and each case will be dealt with on its own merits. According to section 32 of the Act the Register must be open to the public. The Register can be perused on the National Treasury website.

24. Anti-dumping and countervailing duties and rights

24.1 When, after the date of bid, provisional payments are required, or anti-dumping or countervailing duties are imposed, or the amount of a provisional payment or anti-dumping or countervailing right is increased in respect of any dumped or subsidized import, the State is not liable for any amount so required or imposed, or for the amount of any such increase. When, after the said date, such a provisional payment is no longer required or any such anti-dumping or countervailing right is abolished, or where the amount of such provisional payment or any such right is reduced, any such favourable difference shall on demand be paid forthwith by the contractor to the State or the State may deduct such amounts from moneys (if any) which may otherwise be due to the contractor in regard to supplies or services which he delivered or rendered, or is to deliver or render in terms of the contract or any other contract or any other amount which
may be due to him

25. Force Majeure

25.1 Notwithstanding the provisions of GCC Clauses 22 and 23, the supplier shall not be liable for forfeiture of its performance security, damages, or termination for default if and to the extent that his delay in performance or other failure to perform his obligations under the contract is the result of an event of force majeure.

25.2 If a force majeure situation arises, the supplier shall promptly notify the purchaser in writing of such condition and the cause thereof. Unless otherwise directed by the purchaser in writing, the supplier shall continue to perform its obligations under the contract as far as is reasonably practical, and shall seek all reasonable alternative means for performance not prevented by the force majeure event.

26. Termination for insolvency

26.1 The purchaser may at any time terminate the contract by giving written notice to the supplier if the supplier becomes bankrupt or otherwise insolvent. In this event, termination will be without compensation to the supplier, provided that such termination will not prejudice or affect any right of action or remedy which has accrued or will accrue thereafter to the purchaser.

27. Settlement of Disputes

27.1 If any dispute or difference of any kind whatsoever arises between the purchaser and the supplier in connection with or arising out of the contract, the parties shall make every effort to resolve amicably such dispute or difference by mutual consultation.

27.2 If, after thirty (30) days, the parties have failed to resolve their dispute or difference by such mutual consultation, then either the purchaser or the supplier may give notice to the other party of his intention to commence with mediation. No mediation in respect of this matter may be commenced unless such notice is given to the other party.

27.3 Should it not be possible to settle a dispute by means of mediation, it may be settled in a South African court of law.

27.4 Mediation proceedings shall be conducted in accordance with the rules of procedure specified in the SCC.

27.5 Notwithstanding any reference to mediation and/or court proceedings herein,

(a) the parties shall continue to perform their respective obligations under the contract unless they otherwise agree; and

(b) the purchaser shall pay the supplier any monies due the supplier.

28. Limitation of liability

28.1 Except in cases of criminal negligence or willful misconduct, and in the case of infringement pursuant to Clause 6;

(a) the supplier shall not be liable to the purchaser, whether in contract, tort, or otherwise, for any indirect or consequential loss or damage, loss of use, loss of production, or loss of profits or interest costs, provided that this exclusion shall not apply to any obligation of the supplier to pay penalties and/or damages to the purchaser; and
(b) the aggregate liability of the supplier to the purchaser, whether under the contract, in tort or otherwise, shall not exceed the total contract price, provided that this limitation shall not apply to the cost of repairing or replacing defective equipment.

### 29. Governing language

29.1 The contract shall be written in English. All correspondence and other documents pertaining to the contract that is exchanged by the parties shall also be written in English.

### 30. Applicable law

30.1 The contract shall be interpreted in accordance with South African laws, unless otherwise specified in SCC.

### 31. Notices

31.1 Every written acceptance of a bid shall be posted to the supplier concerned by registered or certified mail and any other notice to him shall be posted by ordinary mail to the address furnished in his bid or to the address notified later by him in writing and such posting shall be deemed to be proper service of such notice.

31.2 The time mentioned in the contract documents for performing any act after such aforesaid notice has been given, shall be reckoned from the date of posting of such notice.

### 32. Taxes and duties

32.1 A foreign supplier shall be entirely responsible for all taxes, stamp duties, license fees, and other such levies imposed outside the purchaser’s country.

32.2 A local supplier shall be entirely responsible for all taxes, duties, license fees, etc., incurred until delivery of the contracted goods to the purchaser.

32.3 No contract shall be concluded with any bidder whose tax matters are not in order. Prior to the award of a bid the Department must be in possession of a tax clearance certificate, submitted by the bidder. This certificate must be an original issued by the South African Revenue Services.

### 33. National Industrial Participation (NIP) Programme

33.1 The NIP Programme administered by the Department of Trade and Industry shall be applicable to all contracts that are subject to the NIP obligation.

### 34. Prohibition of Restrictive practices

34.1 In terms of section 4 (1) (b) (iii) of the Competition Act No. 89 of 1998, as amended, an agreement between, or concerted practice by, firms, or a decision by an association of firms, is prohibited if it is between parties in a horizontal relationship and if a bidder (s) is / are or a contractor(s) was / were involved in collusive bidding (or bid rigging).

34.2 If a bidder(s) or contractor(s), based on reasonable grounds or evidence obtained by the purchaser, has / have engaged in the restrictive practice referred to above, the purchaser may refer the matter to the Competition Commission for investigation and possible imposition of administrative penalties as contemplated in the Competition Act No. 89 of 1998.
If a bidder(s) or contractor(s), has / have been found guilty by the Competition Commission of the restrictive practice referred to above, the purchaser may, in addition and without prejudice to any other remedy provided for, invalidate the bid(s) for such item(s) offered, and / or terminate the contract in whole or part, and / or restrict the bidder(s) or contractor(s) from conducting business with the public sector for a period not exceeding ten (10) years and / or claim damages from the bidder(s) or contractor(s) concerned.
THE DIRECTOR GENERAL

I/We hereby request and authorise you to pay any amounts, which may accrue to me/us to the credit of my/our account with the mentioned bank.

I/we understand that the credit transfers hereby authorised will be processed by computer through a system known as "ACB - Electronic Fund Transfer Service", and I/we understand that no additional advice of payment will be provided by my/our bank, but that the details of each payment will be printed on my/our bank statement or any accompanying voucher. (This does not apply where it is not customary for banks to furnish bank statements).

I/we understand that the Department will supply a payment advice in the normal way, and that it will indicate the date on which the funds will be made available on my/our account.

This authority may be cancelled by me/us by giving thirty days notice by prepaid registered post.

Please ensure information is validate as per required bank screens.

I/we understand that bank details provided should be exactly as per record held by the banks.

I/we understand that the Department will not held liable for any delayed payments as a result of incorrect information supplied.

Registered Name
Trading Name
Tax Number
VAT Number
Title:
Initials:
Full Names
Surname
Persal Number

Address Detail

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Postal Code

New Detail

☐ New Supplier information
☐ Update Supplier information

Supplier Type:
☐ Individual
☐ Department
☐ Partnership
☐ Company
☐ Trust
☐ Other (Specify)
☐ CC

Department Number

58 of 59
### Supplier Account Details (To be Verified by the bank, please attach bank letter or 3 months bank statement)

(Please note that this account MUST be in the name of the supplier. No 3rd party payments allowed).

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*Please include CC/CK where applicable

#### Supplier Contact Details

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Email Address

Contact Person:

Supplier Signature

Print Name

Date (dd/mm/yyyy)

**NB:** All relevant fields must be completed